



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6 : A61B 17/39		(11) International Publication Number: WO 95/25471
		(43) International Publication Date: 28 September 1995 (28.09.95)
<p>(21) International Application Number: PCT/IB95/00051</p> <p>(22) International Filing Date: 23 January 1995 (23.01.95)</p> <p>(30) Priority Data: 08/216,901 23 March 1994 (23.03.94) US</p> <p>(60) Parent Application or Grant (63) Related by Continuation US 08/216,901 (CON) Filed on 23 March 1994 (23.03.94)</p> <p>(71) Applicant (for all designated States except US): VALLEYLAB INC. [US/US]; 5920 Longbow Drive, Boulder, CO 80301 (US).</p> <p>(72) Inventor; and (75) Inventor/Applicant (for US only): KLICEK, Michael, S. [US/US]; 2946 Roundtree Drive, Troy, MI 48083 (US).</p> <p>(74) Agents: SPIEGEL, Allen, J. et al.; Pfizer Inc., 235 East 42nd Street, New York, NY 10017 (US).</p>		<p>(81) Designated States: AU, CA, DE, FI, JP, MX, US, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).</p> <p>Published <i>Without international search report and to be republished upon receipt of that report.</i></p>
<p>(54) Title: MOVEABLE SWITCHABLE ELECTROSURGICAL HANDPIECE</p> <p>(57) Abstract</p> <p>A handpiece (11) with a moveable switchable electrode (12) delivers high frequency electrosurgery. The electrode switches (28) from a first monopolar mode with an active electrode (14) extending from the handpiece (11) and a patient (13) return electrodes (15a and 15b) to a second bipolar mode with the active and return electrodes (15a and 15b) extending. Electrosurgical generator (16) outputs supply energy to the electrodes. Bipolar terminals (18) supply energy to the electrodes for use in the second mode. An active lead (19a) selectively connects the active output and the active electrode (14). A return lead (20a and 20b) selectively connects the return electrode (15a and 15b) and the return output in the first mode or the return terminal when in the second mode. Terminals connect with wiring to the electrodes in the handpiece (11) to complete the circuit for the second mode. One terminal is on the generator and the other is in the handpiece (11) to connect to the electrodes and complete the circuit for the second mode. The electrode has a control (12') on the handpiece (11) for the surgeon to change circuitry and to position the electrode for each mode. The control (12') and return electrode (15a and 15b) are moveably supported by the handpiece (11). The return electrode (15a and 15b) in the first mode is positioned inside the handpiece (11) disconnected from its terminal. The return electrode (15a and 15b) connects to its terminal when extended from the handpiece (11). The return electrode (15a and 15b) when used in the first mode, has a pair of pads (21) attached to the patient (13) and connected to a monitoring circuit (22) for testing continuity between each pad and the patient (13). The handpiece (11) has an elongated support extending distally. A method of use has steps including providing the handpiece (11) and electrode, switching the electrode from the first mode to the second mode, providing the generator with outputs for supplying energy to the electrodes, having terminals for the electrodes when used in the second mode, including an active lead (19a) selectively in circuit between the active output and electrode and including a return lead (20a and 20b) selectively in circuit between the return electrode (15a and 15b) and output when in the first mode or the terminal when in the second mode.</p>		

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TG	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

5

MOVEABLE SWITCHABLE ELECTROSURGICAL HANDPIECE

1. Field of the Invention Electrosurgery circuitry is monopolar or bipolar at the option of the surgeon through a convertible handpiece and techniques for the change.
- 10 2. Background of the Disclosure Electrosurgery requires that the high frequency energy applied to a human or animal patient be return to the electrosurgical generator to avoid injury to the patient or surgeon. The application of a radio frequency electrical energy to a surgical site on the patient can be selected for tissue cutting, coagulation, or a blend thereof. In monopolar mode the radio frequency current that is generated by the electrosurgical generator is applied to tissue from an active electrode held by the surgeon, and is collected from a dispersive electrode or pad attached to the patient. A small contact area of the active electrode causes a high current density so that a spark enters the tissue at the surgical site. This spark causes intense localized heating, eschar, fulguration and other effects, to achieve the cutting and/or coagulation. The dispersive electrode collects the energy returning it to the electrosurgical generator to complete the electrical circuit. The dispersive electrode is of a significant size so that the energy density collected thereby is low enough to avoid any surgical or heating effect that would burn.
- 25 30 Burns develop when the power delivered to the tissue after passage through the body results in a high energy density at the exit because of localized tissue heating due to a high resistance connection. This situation happens when the energy is allowed to leave a human's body or animal's body at a poor dispersive electrode connection or a location other than the dispersive electrode. The later condition is called leakage. A burn from leakage can be quite severe as the patient when anesthetized will not react. The burn area is frequently covered so the doctor or surgical attendants will not see it until it is too late to take corrective action.
- 35 Another potential path for leakage burns is to the surgeon through contact with the active electrode handpiece or the conductors which supply the radio frequency, high voltage electrosurgical energy. Leakage in that circumstance may harm or burn the surgeon or one of the surgical attendants in contact with the active electrode handpiece or its supply conductor and any ground. It is for this reason that

leakage or alternate path energy flow in electrosurgery are of considerable concern and efforts are made to monitor and control leakage.

An even worse condition occurs if the electrosurgical generator connection to the dispersive electrode is accidentally separated. Thus, with no direct energy path back to the electrosurgical generator, all of the power travels through any alternate grounded paths, such as through the monitoring electrodes, the surgeon and/or the surgical table. Severe burns are a possible result. At the relatively high-frequencies of electrosurgical current, e.g., 500 kiloHertz to 1 megaHertz, stray capacitance to ground allows another ground referenced path. Furthermore, the amount of stray capacitance required to create this other significant path for ground referenced energy flow is not great.

Minimally invasive procedures include several trocars placed into the body for access to a cavity therein. One trocar could provide illumination and video, another insufflation and another minimally invasive operative instruments. Surgery through a trocar inserted opening through for example, the tissue of the abdominal wall has become an important means to minimize the extent of surgical invasion. The lessening of invasion improves the cosmetic result, shortens recovery and lowers the cost. Minimally invasive internal surgical procedures and equipment are available and in use for a variety of medical operations including gall bladder, bowel and gynecological surgery. A proper and simple instrument to selectively apply monopolar or bipolar electrosurgical effects through the opening is needed. In the minimally invasive setting, with as few as three instrument carrying openings or portals into the abdomen, the ability to treat tissue with either monopolar or bipolar systems on one handpiece is currently not feasible.

There is a wide variety of generic scissors and grasping forceps, as well as some slightly more specialized tools intended for grasping specific organs such as the gall bladder or bowel. Less invasive or minimally invasive surgical procedures are growing in frequency of use and complexity. Such procedures include: laparoscopy, thoracoscopy, endoscopy, etc.

If the surgeon had an easy way of switching between monopolar and bipolar surgery without removal of the handpiece, then the number of instruments and the time required to align the laparoscopic instrument during placement would be minimized. Monopolar electrosurgery is sometimes difficult and dangerous in a

laparoscopic setting and bipolar surgery is limited with respect to the nature of the specific bipolar effectors being applied. Notwithstanding the aforesaid currently, disposable minimally invasive graspers and dissectors for laparoscopy account for millions in sales, with strong growth expected. U.S. Patent 5,098,430 has a 5 handpiece with a moveable active electrode used to selectively convert the instrument from a cutting tool when extended relative to a nozzle thereabout to a fulguration mode with the active electrode retracted relative to a nozzle thereabout. The disclosure is broadly directed to movement of the electrode although that construction is not specifically disclosed. A similar product is manufactured by 10 Valleylab Inc of Boulder, Colorado as the model numbers E2531-6, E2532-6, E2580-28, E2581-28, E2582-28, E2583-28, E2580-36, E2581-36, E2582-36 and E2583-36 each having that have a moveable active electrode supported for axial movement coaxially within a nozzle for argon gas flow and model numbers E2718R-28, E2782R-28, E2783R-28, E2784R-28, E2787R-28, E2788R-28, E2718R-36, 15 E2782R-36, E2783R-36, E2784R-36, E2787R-36 and E2788R-36 each having that have a moveable active electrode supported for axial movement coaxially within an elongated shaft of a laparoscopic instrument. The disclosure and claims herein are assigned to Valleylab Inc.

U.S. Patent 4,911,159 has background that discloses a wide range of 20 handpiece switch arrangements for changing between cutting and coagulation. It is customary current practice that electrosurgical generators have an industry accepted standard spacing for the output terminals for receiving the monopolar and bipolar leads so that handpiece and leads are interchangeable. Consequently, it is preferred that the hand switching between monopolar and bipolar by on a handpiece that is 25 interchangeable, i.e. not requiring a special generator and able to function as an accessory for existing generators.

The knowledge of skilled artisans at the time of this disclosure of a monopolar or bipolar handpiece convertible at the option of the surgeon and techniques for the change is thus negligible.

30

SUMMARY OF THE INVENTION

An electrosurgical system for a surgeon has a handpiece preferably with a moveable switchable electrode for delivery of high frequency electrosurgical energy

to an operational site on a patient. The moveable switchable electrode is preferably switchable from a first monopolar mode with an active electrode carried extending from the handpiece and a return electrode attached to the patient to a second bipolar mode in which the active electrode and return electrode extend from the handpiece 5 in position relative to each other to effect the operational site thereby. An electrosurgical generator has at least a pair of monopolar outputs for supplying electrosurgical energy to the active and return electrodes.

A pair of bipolar terminals of the electrosurgical generator supply 10 electrosurgical energy to the active and return electrodes when used in the second bipolar mode. An active lead selectively in circuit between the active output and the active electrode. A return lead is selectively in circuit between the return electrode and the return output when in the first monopolar mode or the return terminal when 15 in the second bipolar mode so the moveable switchable electrode may be modified at the handpiece by the surgeon to function in either the first monopolar mode or second bipolar mode.

The pair of bipolar terminals are most preferably on the electrosurgical generator and one or both are selectively connected through wiring to the active or return electrodes in the handpiece to complete the circuitry for the second bipolar mode. At least one of the pair of bipolar terminals is on the electrosurgical generator 20 and the other terminal is preferably located within the handpiece for selective connection to either the active or return electrodes to complete the circuitry for the second bipolar mode.

The moveable switchable electrode has a control on the handpiece that is 25 preferably accessible by the surgeon. The control permits selective changes in circuitry to position the moveable switchable electrode for conversion between the first monopolar mode and the second bipolar mode. The control joins to the return electrode and the control and return electrode are moveably supported by the handpiece to function as a unit. The return electrode during the first monopolar mode is preferably positioned inside the handpiece and is disconnected from its 30 terminal. The return electrode connects to its terminal when extended outside the handpiece into a position adjacent to the active electrode by the surgeon's use of the control.

The return electrode has in one from of the system, when used in the first monopolar mode, a pair of pads that may preferably be attached to the patient. Each of the pads are separately connected to a monitoring circuit for testing continuity between each pad and the patient. The handpiece may include an elongated support extending distally for use within laparoscopic or endoscopic settings including trocars, body cavities or body orifices.

A method of using an electrosurgical system for surgery has steps including providing a handpiece having a moveable switchable electrode for delivery of high frequency electrosurgical energy to an operational site on a patient. Switching the moveable switchable electrode from a first monopolar mode with an active electrode carried extending from the handpiece and a return electrode attached to the patient to a second bipolar mode in which the active electrode and return electrode extend from the handpiece in position relative to each other to effect the operational site thereby is another step. A further step may be providing an electrosurgical generator having at least a pair of monopolar outputs for supplying electrosurgical energy to the active and return electrodes. Having on the electrosurgical generator a pair of bipolar terminals with electrosurgical energy to the active and return electrodes when used in the second bipolar models yet another step of the method. Including an active lead selectively in circuit between the active output and the active electrode is one more step. Preferably including a return lead selectively in circuit between the return electrode and the return output when in the first monopolar mode or the return terminal when in the second bipolar mode is a step that allows the moveable switchable electrode to be modified at the handpiece by the surgeon to function in either the first monopolar mode or second bipolar mode.

An electrosurgical accessory for a surgeon may have a handpiece with a moveable switchable electrode for delivery of high frequency electrosurgical energy to an operational site on a patient. The moveable switchable electrode is switchable from a first monopolar mode with an active electrode carried extending from the handpiece and a return electrode attached to the patient to a second bipolar mode in which the active electrode and return electrode extend from the handpiece in position relative to each other to effect the operational site thereby.

The moveable switchable electrode preferably has a control on the handpiece and accessible by the surgeon which control permits selective changes in circuitry

and position of the moveable switchable electrode for conversion between the first monopolar mode and the second bipolar mode.

BRIEF DESCRIPTION OF THE DRAWINGS

5 Figure 1 is a schematic view of the circuit for the electrosurgical system wherein the handpiece is shown in some detail and the electrosurgical generator and return circuit is shown as blocks.

Figure 2 are two schematic circuit diagrams showing the relationship of the switching as alternate versions of the same approach.

10 Figure 3 is a schematic circuit diagram of an alternate version of a switch for shifting the return electrode from a first monopolar mode to a second bipolar mode.

15 Figure 4 is a schematic diagram of another circuit that may be used in connection with return electrode monitoring of pads and for shifting from the first monopolar mode to the second bipolar mode.

Figure 5 is a schematic circuit diagram of yet another switch used in a further alternate circuit to that of Figure 4 for connecting a pair of return electrode monitoring pads with the movable switchable electrode of the handpiece.

20 Figure 6 is a schematic circuit diagram illustrating the connections between the handpiece and the return electrode pad of a still further electrosurgical system when in the first monopolar mode.

DETAILED DESCRIPTION OF THE INVENTION

In Figure 1, an electrosurgical system 10 for a surgeon has a handpiece 11 with a moveable switchable electrode 12 for delivery of high frequency electrosurgical energy to an operational site on a patient 13. The moveable switchable electrode 12 is switchable from a first monopolar mode with an active electrode 14 carried extending from the handpiece as shown in Figure 1 and a return electrode 15a attached to the patient to a second bipolar mode in which the active electrode 14 and a return electrode 15b extends (shown by broken lines) from the handpiece 11 and in position relative to each other to effect the operational site thereby with bipolar electrosurgery. An electrosurgical generator 16 has at least a

pair of monopolar outputs 17 for supplying electrosurgical energy to the active electrode 14 and return electrodes 15a and/or 15b.

A pair of bipolar terminals 18 of the electrosurgical generator 16 supply electrosurgical energy to the active and return electrodes 14 and 15b when used in the second bipolar mode. At least an active lead 19a selectively in circuit between the active output 17 and the active electrode 14. A return lead 20a or 20b is selectively in circuit between the return electrode 15a or 15b, respectively, and the return output 17 when in the first monopolar mode or the return terminal 18 when in the second bipolar mode so the moveable switchable electrode 15b may be modified at the handpiece 11 by the surgeon to function in either the first monopolar mode or second bipolar mode.

The pair of bipolar terminals 18 are on the electrosurgical generator 16 and one or both are selectively connected through wiring 19b and 20b to the active 14 or return electrodes 15b in the handpiece 14 to complete the circuitry for the second bipolar mode. At least one of the pair of bipolar terminals 18 is on the electrosurgical generator and the other terminal is located within the handpiece 11 for selective connection to either the active 14 or return electrodes 15b to complete the circuitry for the second bipolar mode.

The moveable switchable electrode 15b has a control 12' on the handpiece 11 that is accessible by the surgeon. The control 12' permits selective changes in circuitry to position the moveable switchable electrode 15b for conversion between the first monopolar mode and the second bipolar mode. The control 12' joins to the return electrode 15a or 15b and the control 12' and return electrode 15a or 15b are moveably supported by the handpiece 11 to function as a unit. The return electrode 15a during the first monopolar mode is positioned inside the handpiece 11 and is disconnected from its terminal 17. The return electrode 15a connects to its terminal 17 when extended outside the handpiece 11 into a position adjacent to the active electrode 14 by the surgeon's use of the control 12'.

The return electrode 15a has in one form of the system, when used in the first monopolar mode, a pair of pads 21 that may be attached to the patient 13. Each of the pads 21 are separately connected to a monitoring circuit 22 for testing continuity between each pad 21 and the patient 17. The handpiece 11 in an alternate embodiment includes an elongated support 23 extending distally for use

within laparoscopic or endoscopic settings including trocars, body cavities or body orifices.

A method of using an electrosurgical system 10 for surgery has steps including providing a handpiece 11 having the moveable switchable electrode 12 for delivery of high frequency electrosurgical energy to an operational site on a patient 5 13. Switching the moveable switchable electrode from the first monopolar mode with the active electrode 14 carried extending from the handpiece 11 and the return electrode 15a attached to the patient to the second bipolar mode in which the active electrode 14 and the return electrode 15b extend from the handpiece 11 in 10 juxtaposed position relative to each other to effect the operational site thereby is another step. A further step may be providing the electrosurgical generator 16 having at least the pair of monopolar outputs 17 for supplying electrosurgical energy to the active electrode 14 and return electrode 15a. Having on the electrosurgical generator 16 the pair of bipolar terminals 18 with electrosurgical energy to the active 15 electrode 14 and return electrode 15b when used in the second bipolar mode is yet another step of the method. Including the active lead 19a selectively in circuit between the active output 17 and the active electrode 14 is one more step. Including the return lead 20a selectively in circuit between the return electrode 15a or 15b and the return output 17 when in the first monopolar mode or the return 20 terminal 18 when in the second bipolar mode is a step that allows the moveable switchable electrode 12 to be modified at the handpiece 11 by the surgeon to function in either the first monopolar mode or second bipolar mode.

An electrosurgical accessory 25 for a surgeon may have a handpiece 11 with a moveable switchable electrode 12 for delivery of high frequency electrosurgical 25 energy to an operational site on a patient 13. The moveable switchable electrode 12 is switchable from a first monopolar mode with an active electrode 14 carried extending from the handpiece 11 and a return electrode 15a attached to the patient 13 to a second bipolar mode in which the active electrode 14 and return electrode 15b extends from the handpiece 11 in position relative to each other to effect the 30 operational site thereby. The moveable switchable electrode 12 has a control 12' on the handpiece 11 and accessible by the surgeon which control 12' permits selective changes in circuitry and position of the moveable switchable electrode 12 for conversion between the first monopolar mode and the second bipolar mode.

Figure 1 is a full side view of the electrosurgical system 10 and the handpiece 11. Various arrangements can produce alternate systems which will provide the desired ability to convert between monopolar and bipolar modes. Many changes can be made. The circuitry to the electrosurgical generator 11 and the respective patient pad 15a or 21 may take many forms. The position of the monopolar bipolar control 12' and its connection may be varied to suit the handpiece 11 or surgical application.

The disclosure herein seeks to explain several alternatives. In general the claims herein broadly protect the accessory 25 system 10 and its method of use that permit the moveable switchable electrode 12 to convert by preferably moving inwardly and outwardly axially relative to the handpiece 11. Moreover the control 12' while described as manually activated by the surgeon can also be electrical (solenoid), hydraulic (piston and cylinder) or latched and spring loaded. The bipolar return electrode 15b could be fixed or idle and in that circumstance only its electrical connection would be changed by the control 12' when bipolar surgery is desired. It should be noted that if laparoscopic or endoscopic surgical systems are preferred then the elongate support 23 compatible with a 5mm or 10mm trocar may be used with the addition of suction and irrigation as desired.

The moveable switchable electrode 12 which allows the handpiece 11 to be convertible from the first monopolar mode to the second bipolar mode is a part of the protection sought to be covered by the claims. The system 10 may include suction and/or irrigation channels 26 and 27 in Figure 1. The handpiece 11 is thus capable of electrically and mechanically connecting to the moveable switchable electrode 12 and is preferably able to lock the return electrode 15b in the second mode extended position unless idle wherein it may always be extended.

The handpiece 11 may include integral channels for suction 26 and irrigation 27. When the handpiece 11 has the moveable switchable electrode 12; the control 12' must embody not only the user interface but also electrode guidance for the safety and secure axial motion. The handpiece 11 will include switches 28 to activate the cut and coag functions of the electrosurgical generator 16.

The handpiece 11 has switching to change the active electrode 14 and return electrode 15a or (idle) return electrode 15b from the first monopolar mode to the second bipolar mode. In one arrangement the handpiece 11 should also contain buttons for the suction 29 and irrigation 30 functions. The electrical circuitry to

switch between the first and second modes may be in an alternate version separate from the mechanical movement of the return electrode 15b. Electrical switching within handpiece to connect between monopolar and bipolar may take many forms:

5 (1) A simple embodiment of the concept is schematically illustrated in Figure 2; it has the active electrode 14 and the return electrode 15a or 15b in circuit with the patient 13 and when there is no current flow to the return electrode pad 15a from active electrode 14 or idle bipolar 15b electrode when set for the second bipolar mode.

10 (2) Figure 3 is the handpiece 11 of increased complexity to avoid the transfer of energy to the return electrode pad 15a when in the second bipolar mode as the return pad plugs into the handpiece 11 and the return lead plugs into the patient return output 17 of the electrosurgical generator 16. In Figure 4 the system 10 having the return electrode monitor 22 (herein REM) there are two return leads 20a from two electrode pads 21 or 15b. Several U.S. Patents, assigned to the Valleylab 15 the assignee of this disclosure include 4,200,104; 4,416,276 and 4,416,277; are incorporated herein by reference and made a part hereof insofar as they describe and explain REM systems.

15 (3) In Figure 3 double throw double pole switch 31 has the following advantages:

20 (a) In the second bipolar mode the patient return electrode pad 15a is not connected to the electrosurgical generator 16 eliminating all concerns about "leakage" current to the return pad 15a from the bipolar surgical site.

25 (b) In the first monopolar mode, the active electrode 14 and the return electrode 15b are common which is an advantage if return electrode is idle (not moveable, i.e. non retractable in the first monopolar mode). Thus the active electrode 14 or the return electrode 15b can be used in the first monopolar mode; higher power levels used in the first monopolar mode require increased insulation so this approach may be preferred for the system 10.

30 The moveable switchable electrode 12 may accommodate the REM pad system in the first monopolar mode. The particular switch 32 shown schematically in Figure 4 could be a four pole two position switch 32. A slide control 12' may be

the preferred commercial implementation for user friendly interface of such a complex switch 32. The switch 32 is shown in the second bipolar mode the return electrode 15a makes contact with the output 17 return for the REM pad at the electrosurgical generator 16. The patient pad lead 20a between the handpiece 11 and the electrosurgical generator 16 is thus opened, see Figure 4 dashed lines in Figure 4. The solid lines show the switch in the first monopolar mode. The return electrode 15a is connected to the active electrode 14 and the return electrode pad (both leads) are respectively connected to the two leads 20a of the electrosurgical generator 16 return output 17. When the handpiece control 12' is moved from the first monopolar mode to the second bipolar mode, a REM alarm can if desired be connected to sound. In addition, because that embodiment is connected to the monopolar outputs of the electrosurgical generator 16, a dual REM pad is not indicated. Since a REM alarm will remain until the two patient return leads 21a are no longer together in the bipolar configuration.

Suction and irrigation sources are not shown. The possible sets of wiring required include the active output wiring harness with monopolar active and return leads 19a and 20a fixed to the handpiece 11. A dual wire patient return harness that plugs into electrosurgical generator 16 patient return output 17 fixed to handpiece 11.

The three wire sets 19a, 20a, 19b and 20b and the suction 26 and irrigation 27 make the harness with five parts. That and the bulk of the harness may be too many for user acceptance. Re-examination of the alternatives discussed shows the following system 10 possibilities. It is assumed that the convertible monopolar bipolar handpiece 11 with the movable switchable electrode 12 is preferably REM compatible in the first monopolar mode. Consequently, in the second bipolar mode (as the system 10 is presently arranged) the integrity of the REM system must be maintained, an embodiment of that is in Figure 5. It is possible that some current to the return electrode 15a may exist and to maintain REM system integrity, only one side of the return patient output 17 will see active current flow. A variation of the circuit of Figure 5 is shown in Figure 6; it includes a shunt 33 within the handpiece 11 and between the active electrode 14 and return electrodes 15b when in the first monopolar mode to maintaining system integrity.

5

What is claimed is:

1. An electrosurgical system 10 for a surgeon comprising:
a handpiece 11 having a moveable switchable electrode 12 for delivery
of high frequency electrosurgical energy to an operational site on a patient 13, which
moveable switchable electrode 12 is switchable from a first monopolar mode with
10 an active electrode 14 carried extending from the handpiece 11 and a return
electrode 15a and 15b attached to the patient 13 to a second bipolar mode in which
the active electrode 14 and return electrode 15a and 15b extend from the handpiece
11 in position relative to each other to effect the operational site thereby;
an electrosurgical generator 16 having at least a pair of monopolar
15 outputs 17 for supplying electrosurgical energy to the active and return electrodes
15a and 15b;
a pair of bipolar terminals 18 on the electrosurgical generator 16 for
supplying electrosurgical energy to the active and return electrodes 15a and 15b
when used in the second bipolar mode;
20 an active lead 19a selectively in circuit between the active output and
the active electrode 14, and
a return lead 20a and 20b selectively in circuit between the return
electrode 15a and 15b and the return output when in the first monopolar mode or
the return terminal when in the second bipolar mode so the moveable switchable
25 electrode 12 may be modified at the handpiece 11 by the surgeon to function in
either the first monopolar mode or second bipolar mode.
2. The electrosurgical system 10 of Claim 1 wherein the pair of bipolar
terminals 18 are on the electrosurgical generator 16 and one or both are selectively
connected through wiring to the active or return electrodes 15a and 15b in the
30 handpiece 11 to complete the circuitry for the second bipolar mode.
3. The electrosurgical system 10 of Claim 1 wherein at least one of the
pair of bipolar terminals 18 is on the electrosurgical generator 16 and the other
terminal is located within the handpiece 11 for selective connection to either the
active or return electrodes 15a and 15b to complete the circuitry for the second
35 bipolar mode.
4. The electrosurgical system 10 of Claim 1 wherein the moveable
switchable electrode 12 has a control 12' on the handpiece 11 that is accessible by

the surgeon, the control 12' permits selective changes in circuitry to position the moveable switchable electrode 12 for conversion between the first monopolar mode and the second bipolar mode.

5. The electrosurgical system 10 of Claim 4 wherein the control 12' joins to the return electrode 15a and 15b and the control 12' and return electrode 15a and 15b are moveably supported by the handpiece 11 to function as a unit, the return electrode 15a and 15b during the first monopolar mode is positioned inside the handpiece 11 and is disconnected from its terminal, the return electrode 15a and 15b connects to its terminal when extended outside the handpiece 11 into a position 10 adjacent to the active electrode 14 by the surgeon's use of the control 12'.

6. The electrosurgical system 10 of Claim 1 wherein the return electrode 15a and 15b is a pair of pads 21 in the first monopolar mode, the pair pads for attachment to the patient 13, each of the pads are separately connected to a monitoring circuit 22 for testing continuity between each pad and the patient 13.

15 7. The electrosurgical system 10 of Claim 1 wherein the handpiece 11 includes an elongated support extending distally for use within laparoscopic or endoscopic settings including trocars, body cavities or body orifices.

8. A method of using an electrosurgical system 10 for surgery comprising steps of:

20 providing a handpiece 11 having a moveable switchable electrode 12 for delivery of high frequency electrosurgical energy to an operational site on a patient 13;

switching the moveable switchable electrode 12 from a first monopolar mode with an active electrode 14 carried extending from the handpiece 11 and a return electrode 15a and 15b attached to the patient 13 to a second bipolar mode in which 25 the active electrode 14 and return electrode 15a and 15b extend from the handpiece 11 in position relative to each other to effect the operational site thereby;

providing an electrosurgical generator 16 having at least a pair of monopolar outputs 17 for supplying electrosurgical energy to the active and return 30 electrodes 15a and 15b;

having on the electrosurgical generator 16 a pair of bipolar terminals 18 with electrosurgical energy to the active and return electrodes 15a and 15b when used in the second bipolar mode;

including an active lead 19a selectively in circuit between the active output and the active electrode 14, and

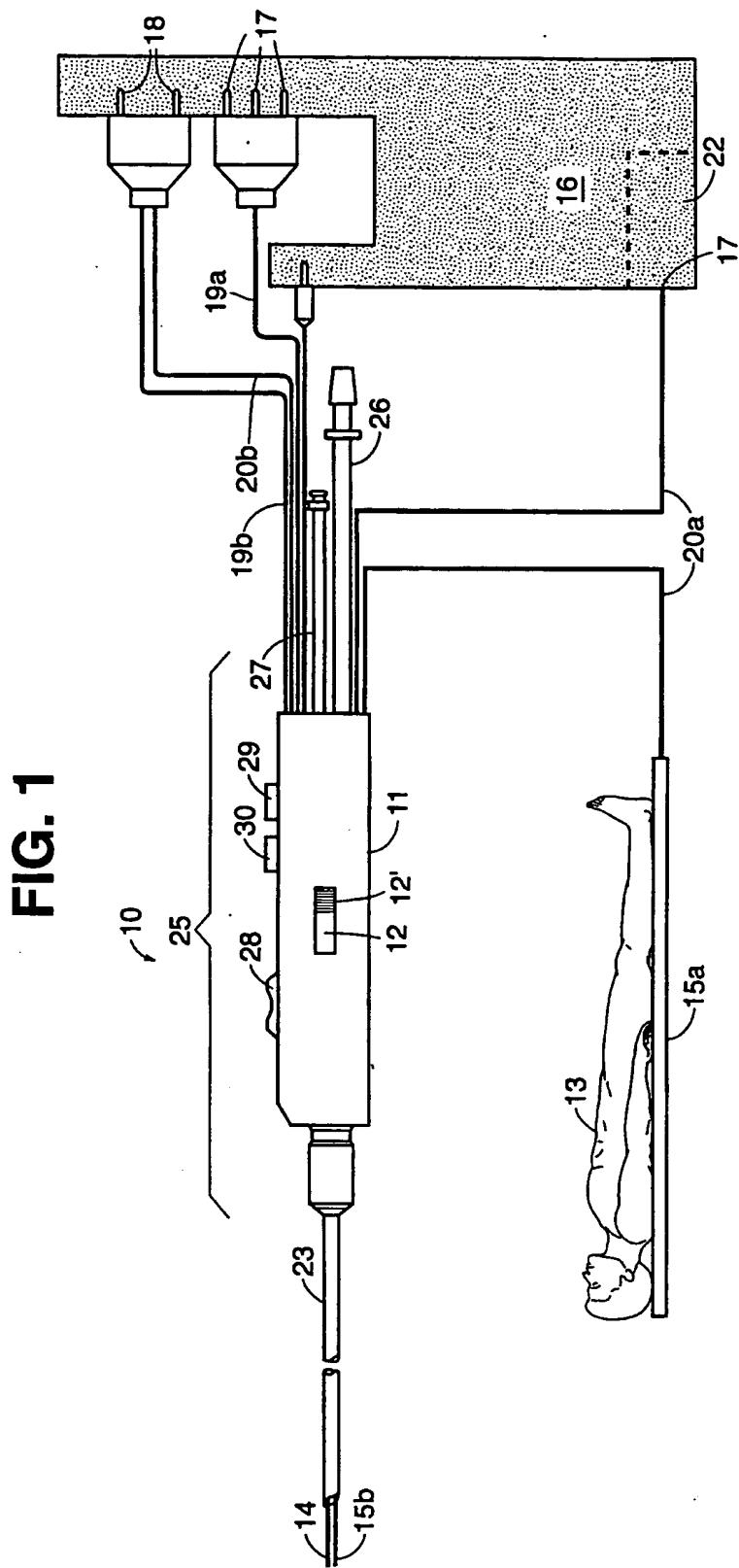
including a return lead 20a and 20b selectively in circuit between the return electrode 15a and 15b and the return output when in the first monopolar mode or the return terminal when in the second bipolar mode so the moveable switchable electrode 12 may be modified at the handpiece 11 by the surgeon to function in either the first monopolar mode or second bipolar mode.

9. An electrosurgical accessory 25 for a surgeon comprising:

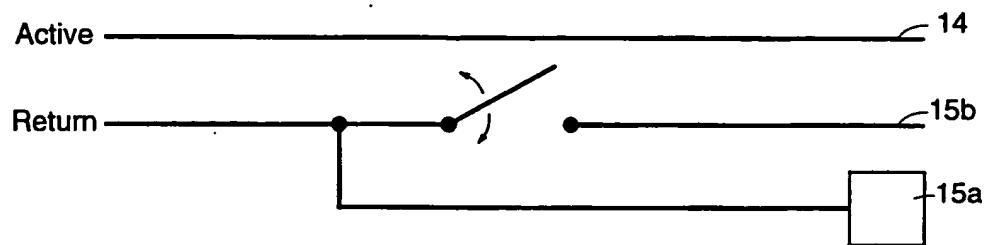
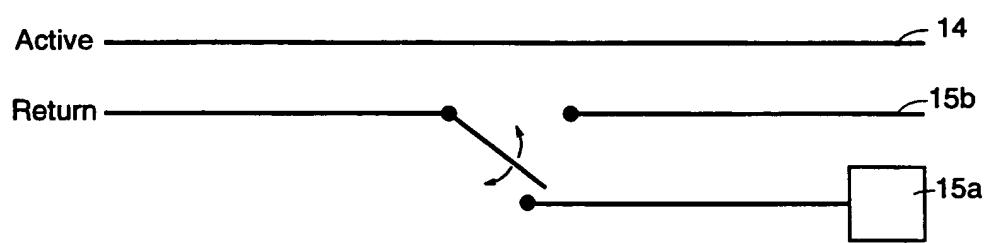
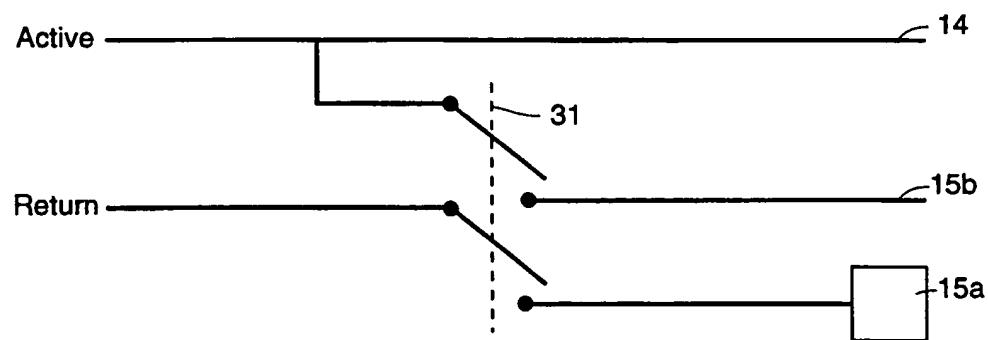
a handpiece 11 having a switchable electrode for delivery of high frequency electrosurgical energy to an operational site on a patient 13, which switchable electrode is switchable from a first monopolar mode with an active electrode 14 and an idle return electrode 15a and 15b carried extending from the handpiece 11 and a return electrode 15a and 15b attached to the patient 13 to a second bipolar mode in which the active electrode 14 and the idle return electrode 15a and 15b extend from the handpiece 11 in position relative to each other to effect the operational site therebetween when the idle return electrode 15a and 15b is connected to the return electrode 15a and 15b.

10. The electrosurgical accessory 25 of Claim 9 wherein the moveable switchable electrode 12 has a control 12' on the handpiece 11 and accessible by the surgeon which control 12' permits selective changes in circuitry and position of the moveable switchable electrode 12 for conversion between the first monopolar mode and the second bipolar mode.

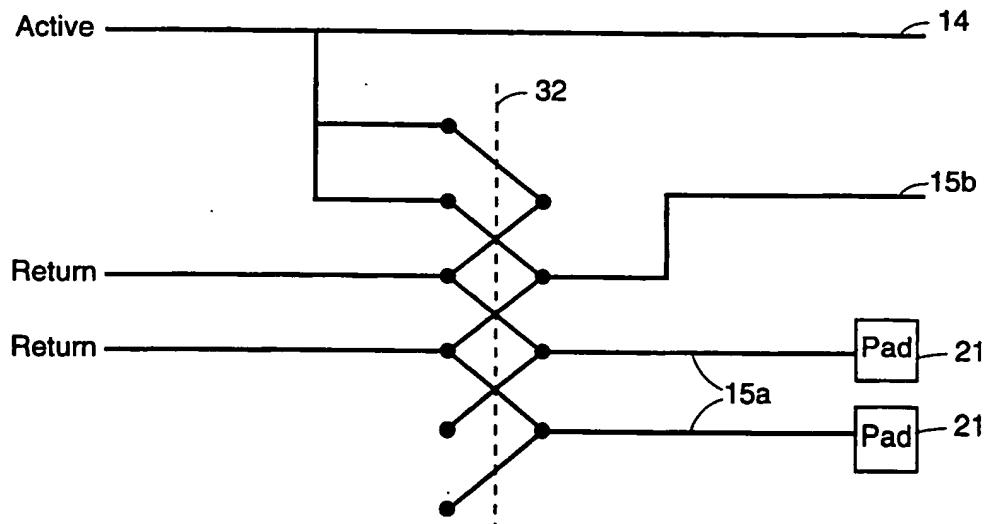
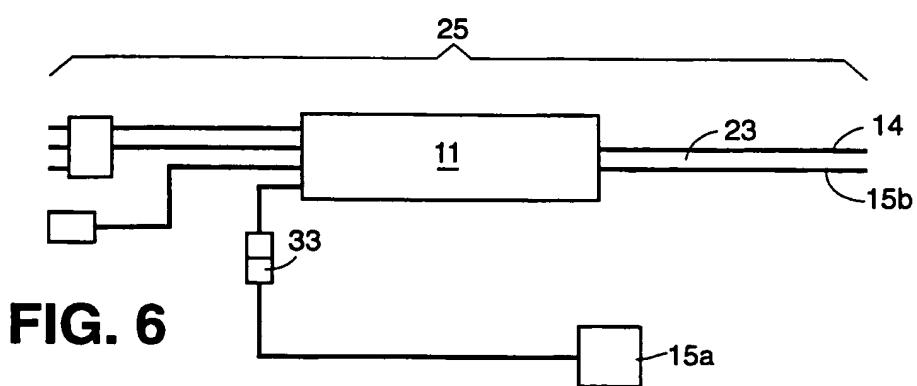
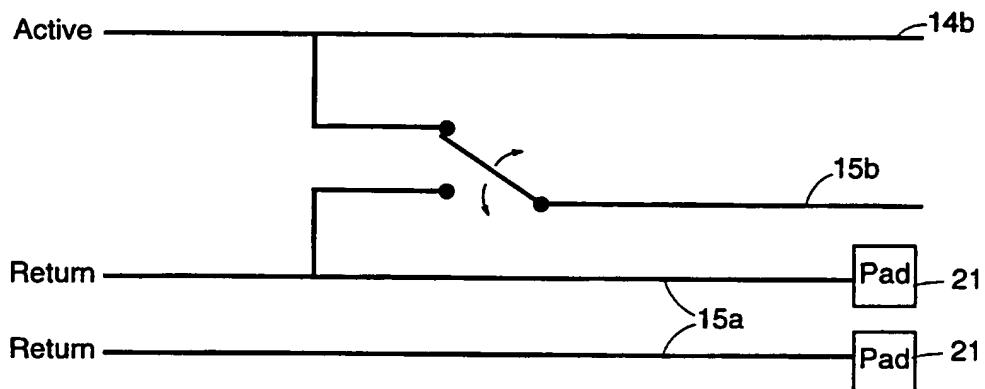
1/3



2/3

FIG. 2A**FIG. 2B****FIG. 3**

3/3

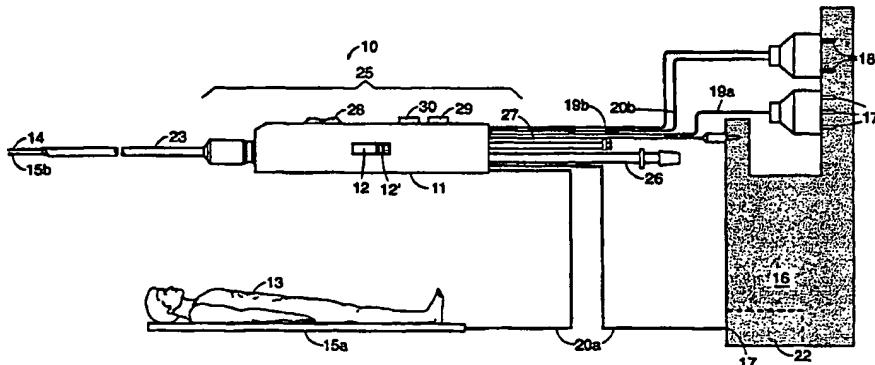
FIG. 4**FIG. 5****FIG. 6**



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6 : A61B 17/39	A3	(11) International Publication Number: WO 95/25471 (43) International Publication Date: 28 September 1995 (28.09.95)
(21) International Application Number: PCT/IB95/00051 (22) International Filing Date: 23 January 1995 (23.01.95)		(81) Designated States: AU, CA, DE, FI, JP, MX, US, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).
(30) Priority Data: 08/216,901 23 March 1994 (23.03.94) US		Published <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
(60) Parent Application or Grant (63) Related by Continuation US Filed on 08/216,901 (CON) 23 March 1994 (23.03.94)		(88) Date of publication of the international search report: 19 October 1995 (19.10.95)
(71) Applicant (for all designated States except US): VALLEYLAB INC. [US/US]; 5920 Longbow Drive, Boulder, CO 80301 (US). (72) Inventor; and (75) Inventor/Applicant (for US only): KLICEK, Michael, S. [US/US]; 2946 Roundtree Drive, Troy, MI 48083 (US). (74) Agents: SPIEGEL, Allen, J. et al.; Pfizer Inc., 235 East 42nd Street, New York, NY 10017 (US).		

(54) Title: MONOPOLAR/BIPOLAR ELECTROSURGICAL HANDPIECE FOR MINIMALLY INVASIVE SURGERY



(57) Abstract

An electrosurgical system for minimally invasive surgery operative both in a monopolar or in a bipolar configuration, consisting of: 1) a handpiece having an elongated body, with a proximal end and a distal end, having at its distal end two electrodes, one active, non movable (14), and a second electrode (15b), retractable into the distal end body when in monopolar mode, and protruding from distal end when serving as return electrode in the bipolar mode; 2) an additional return electrode (15a) normally used when in monopolar mode, to be positioned in contact with the patient's tissues, which can be connected during bipolar operation in parallel with electrode (15b) thus creating a possible path for astray currents; 3) a movable switchable electrode (12) positioned at the proximal end of the handpiece, which performs the following functions: a) sends a command signal through an appropriate command cable to an associated ESU to switch from one mode of operation to the other, b) causes the sliding electrode (15b) to exit or to retract according to the selected mode of operation, c) makes the necessary electrical commutations to activate the return electrodes needed according to the selected mode of operation; 4) active lead (19a) between electrode (14) and active output of ESU; 5) return leads (20a and 20b) to be connected to return electrodes (15a, 15b); 6) a contact pad monitoring circuit (22).

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TG	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

INTERNATIONAL SEARCH REPORT

International Application No
PCT/IB 95/00051

A. CLASSIFICATION OF SUBJECT MATTER
IPC 6 A61B17/39

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 6 A61B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO,A,93 19681 (VALLEYLAB) 14 October 1993 see abstract; figures 1,2 ---	1
A	US,A,4 919 129 (CELEBRATION) 24 April 1990 see abstract; figures 1,4A ---	1
A	WO,A,92 04873 (VAN NOORD) 2 April 1992 see abstract; figures 8,1 ---	1
A	US,A,5 071 418 (ROSENBAUM) 10 December 1991 see abstract; figures 4,5 ---	1
A	US,A,4 911 159 (JOHNSON) 27 March 1990 cited in the application -----	

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

* Special categories of cited documents :

- *'A' document defining the general state of the art which is not considered to be of particular relevance
- *'E' earlier document but published on or after the international filing date
- *'L' document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *'O' document referring to an oral disclosure, use, exhibition or other means
- *'P' document published prior to the international filing date but later than the priority date claimed

- *'T' later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *'X' document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *'Y' document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- *'&' document member of the same patent family

1

Date of the actual completion of the international search	Date of mailing of the international search report
25 August 1995	14.09.95
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl, Fax (+ 31-70) 340-3016	Authorized officer Papone, F

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/IB 95/00051

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
WO-A-9319681	14-10-93	US-A-	5281216	25-01-94
		AU-B-	3430693	08-11-93
		CA-A-	2130554	14-10-93
		DE-U-	9390075	03-11-94
		EP-A-	0633749	18-01-95
		FI-A-	944522	29-09-94
		JP-T-	7501474	16-02-95
		NO-A-	943627	29-09-94
-----	-----	-----	-----	-----
US-A-4919129	24-04-90	CA-A-	1296962	10-03-92
		JP-A-	1195850	07-08-89
		US-A-	5035695	30-07-91
-----	-----	-----	-----	-----
WO-A-9204873	02-04-92	US-A-	5246440	21-09-93
		EP-A-	0548275	30-06-93
-----	-----	-----	-----	-----
US-A-5071418	10-12-91	NONE		
-----	-----	-----	-----	-----
US-A-4911159	27-03-90	NONE		
-----	-----	-----	-----	-----